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PTO/SB/05 (03-01)

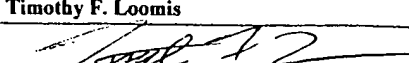
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|   |                        |  |
|---|------------------------|--|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No.    | SMUS.0049  |
|   | First Inventor         | Harald Hinderer  |
|   | Title                  | Telecommunications Credit Management System and Method |
|   | Express Mail Label No. | ET054583123US  |

| APPLICATION ELEMENTS<br><small>See MPEP chapter 600 concerning utility patent application contents.</small>   |                                  | ADDRESS TO: Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, D.C. 20231   |              |
|---|----------------------------------|--|--------------|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><small>(Submit an original, and a duplicate for fee processing)</small>  |                                  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program (Appendix)   |              |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.   |                                  | 8. Nucleotide and/or Amino Acid Sequence Submission<br><small>(if applicable, all necessary)</small>   |              |
| 3. <input checked="" type="checkbox"/> Specification <small>(Total Pages 29)</small><br><small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table,<br/>or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> |                                  | a. <input type="checkbox"/> Computer Readable Form (CRF)   |              |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <small>(Total 7)</small>  |                                  | b. Specification Sequence Listing on: <ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> paper</li></ul> |              |
| 5. Oath or Declaration <small>(Total Pages)</small> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/><small>(for continuation/divisional with Box 18 completed)</small><ul style="list-style-type: none"><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/><small>Signed statement attached deleting inventor(s)<br/>named in the prior application, see 37 CFR<br/>1.63(d)(2) and 1.33(b).</small></li></ul></li></ul>  |                                  | c. <input type="checkbox"/> Statements verifying identity of above copies  |              |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76   |                                  | <b>ACCOMPANYING APPLICATION PARTS</b>  |              |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,<br>or in an Application Data Sheet under 37 CFR 1.76:<br><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: /<br><small>Prior application information: Examiner Group / Art Unit</small>  |                                  | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))  |              |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied<br>under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by<br>reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  |                                  | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br><small>(when there is an assignee)</small>   |              |
|   |                                  | 11. <input type="checkbox"/> English Translation Document (if applicable)  |              |
|   |                                  | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations  |              |
|   |                                  | 13. <input type="checkbox"/> Preliminary Amendment   |              |
|   |                                  | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><small>(Should be specifically itemized)</small>   |              |
|   |                                  | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><small>(if foreign priority is claimed)</small>   |              |
|   |                                  | 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122<br>(b)(2)(B)(i). Applicant must attach form PTO/SB/35<br>or its equivalent.  |              |
|   |                                  | 17. <input checked="" type="checkbox"/> Other: Credit Card Payment Form 2038   |              |
| <b>19. CORRESPONDENCE ADDRESS</b>   |                                  |  |              |
| <input type="checkbox"/> Customer Number or Bar Code Label  |                                  | <input type="checkbox"/> Correspondence address below  |              |
| <small>(Insert Customer No. or Attach bar code label here)</small>  |                                  |  |              |
| Name  | Timothy F. Loomis                |  |              |
|   | Law Offices of Timothy F. Loomis |  |              |
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| Country   | USA                              | Telephone  | 972-747-0706 |
|   |                                  | Fax  | 972-747-9996 |

|                   |   |                                   |               |
|-------------------|---|-----------------------------------|---------------|
| Name (Print/Type) | Timothy F. Loomis   | Registration No. (Attorney/Agent) | 37,383        |
| Signature         |  | Date                              | July 31, 2003 |

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# FEE TRANSMITTAL

## for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ ) 1,236.00

### Complete if Known

Application Number  
Filing Date July 31, 2003  
First Named Inventor Harald Hinderer  
Examiner Name  
Art Unit  
Attorney Docket No. SMUS.0049

### METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number  
Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid            |
|----------------------------|----------------------------|------------------------|---------------------|
| 1001 750                   | 2001 375                   | Utility filing fee     | 750.00              |
| 1002 330                   | 2002 165                   | Design filing fee      |                     |
| 1003 520                   | 2003 260                   | Plant filing fee       |                     |
| 1004 750                   | 2004 375                   | Reissue filing fee     |                     |
| 1005 160                   | 2005 80                    | Provisional filing fee |                     |
| <b>SUBTOTAL (1)</b>        |                            |                        | <b>(\$ ) 750.00</b> |

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 33                 | -20** = 13   | 18             | 234      |
| Independent Claims | 6            | -3** = 3       | 84       |
| Multiple Dependent |              |                |          |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 1202 18                    | 2202 9                     | Claims in excess of 20                                     |
| 1201 84                    | 2201 42                    | Independent claims in excess of 3                          |
| 1203 280                   | 2203 140                   | Multiple dependent claim, if not paid                      |
| 1204 84                    | 2204 42                    | ** Reissue independent claims over original patent         |
| 1205 18                    | 2205 9                     | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$ ) 486.00

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 1051 130                   | 2051 65                    | Surcharge - late filing fee or oath  |          |
| 1052 50                    | 2052 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130                   | 1053 130                   | Non-English specification  |          |
| 1812 2,520                 | 1812 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804 920*                  | 1804 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 1805 1,840*                | 1805 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 1251 110                   | 2251 55                    | Extension for reply within first month                                     |          |
| 1252 410                   | 2252 205                   | Extension for reply within second month                                    |          |
| 1253 930                   | 2253 465                   | Extension for reply within third month                                     |          |
| 1254 1,450                 | 2254 725                   | Extension for reply within fourth month                                    |          |
| 1255 1,970                 | 2255 985                   | Extension for reply within fifth month                                     |          |
| 1401 320                   | 2401 160                   | Notice of Appeal   |          |
| 1402 320                   | 2402 160                   | Filing a brief in support of an appeal                                     |          |
| 1403 280                   | 2403 140                   | Request for oral hearing   |          |
| 1451 1,510                 | 1451 1,510                 | Petition to institute a public use proceeding                              |          |
| 1452 110                   | 2452 55                    | Petition to revive - unavoidable   |          |
| 1453 1,300                 | 2453 650                   | Petition to revive - unintentional   |          |
| 1501 1,300                 | 2501 650                   | Utility issue fee (or reissue)   |          |
| 1502 470                   | 2502 235                   | Design issue fee   |          |
| 1503 630                   | 2503 315                   | Plant issue fee  |          |
| 1460 130                   | 1460 130                   | Petitions to the Commissioner  |          |
| 1807 50                    | 1807 50                    | Processing fee under 37 CFR 1.17(q)  |          |
| 1806 180                   | 1806 180                   | Submission of Information Disclosure Stmt                                  |          |
| 8021 40                    | 8021 40                    | Recording each patent assignment per property (times number of properties) |          |
| 1809 750                   | 2809 375                   | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810 750                   | 2810 375                   | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801 750                   | 2801 375                   | Request for Continued Examination (RCE)                                    |          |
| 1802 900                   | 1802 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ )

### SUBMITTED BY

Name (Print/Type) Timothy F. Loomis

Registration No. (Attorney/Agent)

37,383

(Complete if applicable)

Telephone 972-747-0706

Signature

Date

7/31/03

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

## United States Patent & Trademark Office

### Credit Card Payment Form

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#### Credit Card Information

Credit Card Type: ☐ Visa ☒ MasterCard ☐ American Express ☐ Discover

Credit Card Account #: [REDACTED] 1003

Credit Card Expiration Date: 12/04

Name as it Appears on Credit Card: Timothy F. Loomis

Payment Amount: \$(US Dollars): \$1,236.00 Utility Application Filing Fee

Signature: [Signature] Date: July 30, 2003

**Refund Policy:** The Office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The Office will not refund amounts of twenty-five dollars or less unless a refund is specifically requested, and will not notify the payor of such amounts (37CFR 1.26). Refund of a fee paid by credit card will be via credit to the credit card account.

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#### Credit Card Billing Address

Street Address 1: Law Offices of Timothy F. Loomis

Street Address 2: 2932 Hagen Drive

City: Plano

State: TX

Zip/Postal Code: 75025

Country: USA

Daytime phone #: 972-747-0706

Fax #: 972-747-9996

#### Request and Payment Information

Description of Request and Payment Information:

|  |   |  |                                    |
|--|---|--|------------------------------------|
| <input checked="" type="checkbox"/> Patent Fee | <input type="checkbox"/> Patent Maintenance Fee | <input type="checkbox"/> Trademark Fee | <input type="checkbox"/> Other Fee |
| Application No.                                | Application No.                                 | Serial No.                             | IDON Customer No.                  |
| Patent No.                                     | Patent No.                                      | Registration No.                       |                                    |
| Attorney Docket No.<br>SMUS.0049               |   | Identify or Describe Mark              |                                    |

**ATTY DOCKET NO.:** SMUS.0049

**FILING DATE:** July 31, 2003

**APPLICANT:** Harald Hinderer

**SERIAL NO.:** Unknown

**TITLE:** Telecommunications Credit Management System and Method

**RECEIPT OF THE FOLLOWING PAPERS IS ACKNOWLEDGED:**

Utility Patent Application Transmittal; Fee Transmittal Form; Credit Card Payment Form for payment of \$1,236 fee; Specification; Claims; and Abstract (29 pages); and Drawings, Figures 1-7 (7 pages).

19589 U.S. PTO

10/631211



07/31/03

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7-31-03

**DATE:** July 31, 2003

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